

Rec'd PCT/PTO 08 OCT 2004

01 00228WO

1/5

PCT REQUEST

Paper Copy (NOT for submission)

10/510695

|       |   |  |
|-------|---|--|
| 0     | For receiving Office use only   |  |
| 0-1   | International Application No.   |  |
| 0-2   | International Filing Date   |  |
| 0-3   | Name of receiving Office and "PCT International Application"  |  |
| 0-4   | Form PCT/RO/101 PCT Request   |  |
| 0-4-1 | Prepared Using  | PCT-SAFE [EASY mode]<br>Version 3.50 (Build 0002.163)                                    |
| 0-5   | Petition<br><br>The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty |  |
| 0-6   | Receiving Office (specified by the applicant)   | Japan Patent Office (RO/JP)  |
| 0-7   | Applicant's or agent's file reference   | 01 00228WO   |
| I     | Title of Invention  | METHOD OF MANUFACTURING SILICON SINGLE CRYSTAL, SILICON SINGLE CRYSTAL AND SILICON WAFER |
| II    | Applicant   |  |
| II-1  | This person is  | applicant only   |
| II-2  | Applicant for   | all designated States except US  |
| II-4  | Name  | SHIN-ETSU HANDOTAI CO., LTD.   |
| II-5  | Address   | 4-2, Marunouchi 1-chome, Chiyoda-ku,<br>Tokyo<br>100-0005<br>Japan                       |
| II-6  | State of nationality  | JP   |
| II-7  | State of residence  | JP   |
| II-8  | Telephone No.   | 03-3214-1831   |
| II-9  | Facsimile No.   | 03-3215-9040   |

**PCT REQUEST**

Paper Copy (NOT for submission)

|              |                                  |  |
|--------------|----------------------------------|--|
| <b>III-1</b> | <b>Applicant and/or inventor</b> |  |
| III-1-1      | This person is                   | <b>applicant and inventor</b>  |
| III-1-2      | Applicant for                    | <b>US only</b>   |
| III-1-4      | Name (LAST, First)               | <b>FUSEGAWA, Izumi</b>   |
| III-1-5      | Address                          | c/o Shirakawa R&D Center, Shin-Etsu<br>Handotai Co., Ltd., 150, Aza Ohira, Oaza<br>Odakura, Nishigo-mura, Nishishirakawa-<br>gun, Fukushima<br>961-8061<br>Japan |
| III-1-6      | State of nationality             | JP   |
| III-1-7      | State of residence               | JP   |
| <b>III-2</b> | <b>Applicant and/or inventor</b> |  |
| III-2-1      | This person is                   | <b>applicant and inventor</b>  |
| III-2-2      | Applicant for                    | <b>US only</b>   |
| III-2-4      | Name (LAST, First)               | <b>OKUNI, Sadayuki</b>   |
| III-2-5      | Address                          | c/o Shirakawa R&D Center, Shin-Etsu<br>Handotai Co., Ltd., 150, Aza Ohira, Oaza<br>Odakura, Nishigo-mura, Nishishirakawa-<br>gun, Fukushima<br>961-8061<br>Japan |
| III-2-6      | State of nationality             | JP   |
| III-2-7      | State of residence               | JP   |
| <b>III-3</b> | <b>Applicant and/or inventor</b> |  |
| III-3-1      | This person is                   | <b>applicant and inventor</b>  |
| III-3-2      | Applicant for                    | <b>US only</b>   |
| III-3-4      | Name (LAST, First)               | <b>MITAMURA, Nobuaki</b>   |
| III-3-5      | Address                          | c/o Shirakawa R&D Center, Shin-Etsu<br>Handotai Co., Ltd., 150, Aza Ohira, Oaza<br>Odakura, Nishigo-mura, Nishishirakawa-<br>gun, Fukushima<br>961-8061<br>Japan |
| III-3-6      | State of nationality             | JP   |
| III-3-7      | State of residence               | JP   |

**PCT REQUEST**

Paper Copy (NOT for submission)

|         |  |  |
|---------|--|--|
| III-4   | <b>Applicant and/or inventor</b>   |  |
| III-4-1 | This person is   | applicant and inventor   |
| III-4-2 | Applicant for  | US only  |
| III-4-4 | Name (LAST, First)   | OHTA, Tomohiko   |
| III-4-5 | Address  | c/o Shirakawa R&D Center, Shin-Etsu<br>Handotai Co., Ltd., 150, Aza Ohira, Oaza<br>Odakura, Nishigo-mura, Nishishirakawa-<br>gun, Fukushima<br>961-8061<br>Japan |
| III-4-6 | State of nationality   | JP   |
| III-4-7 | State of residence   | JP   |
| III-5   | <b>Applicant and/or inventor</b>   |  |
| III-5-1 | This person is   | applicant and inventor   |
| III-5-2 | Applicant for  | US only  |
| III-5-4 | Name (LAST, First)   | KATUOKA, Nobuo   |
| III-5-5 | Address  | c/o Shirakawa R&D Center, Shin-Etsu<br>Handotai Co., Ltd., 150, Aza Ohira, Oaza<br>Odakura, Nishigo-mura, Nishishirakawa-<br>gun, Fukushima<br>961-8061<br>Japan |
| III-5-6 | State of nationality   | JP   |
| III-5-7 | State of residence   | JP   |
| IV-1    | <b>Agent or common representative; or address for correspondence</b>   |  |
|         | The person identified below is hereby/<br>has been appointed to act on behalf of<br>the applicant(s) before the competent<br>International Authorities as: | agent  |
| IV-1-1  | Name (LAST, First)   | YOSHIMIYA, Mikio   |
| IV-1-2  | Address  | Uenosansei Bldg. 4F, 6-4, Motoasakusa<br>2-chome, Taito-ku, Tokyo<br>111-0041<br>Japan   |
| IV-1-3  | Telephone No.  | 03-3844-4501   |
| IV-1-4  | Facsimile No.  | 03-3844-4505   |
| IV-1-5  | e-mail   | jdw07652@nifty.ne.jp   |

**PCT REQUEST**

Paper Copy (NOT for submission)

| V      | DESIGNATIONS   |                              |                             |
|--------|--|------------------------------|-----------------------------|
| V-1    | The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents. |                              |                             |
| VI-1   | <b>Priority claim of earlier national application</b>  |                              |                             |
| VI-1-1 | Filing date  | 24 April 2002 (24.04.2002)   |                             |
| VI-1-2 | Number   | 2002-122250                  |                             |
| VI-1-3 | Country  | JP                           |                             |
| VI-2   | <b>Priority document request</b><br><br>The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):  | VI-1                         |                             |
| VII-1  | <b>International Searching Authority Chosen</b>  | Japan Patent Office (ISA/JP) |                             |
| VIII   | <b>Declarations</b>  | Number of declarations       |                             |
| VIII-1 | Declaration as to the identity of the inventor   | -                            |                             |
| VIII-2 | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent   | -                            |                             |
| VIII-3 | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application   | -                            |                             |
| VIII-4 | Declaration of inventorship (only for the purposes of the designation of the United States of America)   | -                            |                             |
| VIII-5 | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | -                            |                             |
| IX     | <b>Check list</b>  | number of sheets             | electronic file(s) attached |
| IX-1   | Request (including declaration sheets)   | 6                            | ✓                           |
| IX-2   | Description  | 23                           | -                           |
| IX-3   | Claims   | 2                            | -                           |
| IX-4   | Abstract   | 1                            | ✓                           |
| IX-5   | Drawings   | 3                            | -                           |
| IX-7   | <b>TOTAL</b>   | 35                           |                             |

**PCT REQUEST**

Paper Copy (NOT for submission)

|       | <b>Accompanying Items</b>                                  | paper document(s) attached | electronic file(s) attached |
|-------|--|----------------------------|-----------------------------|
| IX-8  | Fee calculation sheet                                      | ✓                          | -                           |
| IX-17 | PCT-SAFE physical media                                    | -                          | ✓                           |
| IX-19 | Figure of the drawings which should accompany the abstract | 3                          |                             |
| IX-20 | Language of filing of the international application        | Japanese                   |                             |
| X-1   | Signature of applicant, agent or common representative     |                            |                             |
| X-1-1 | Name (LAST, First)   |                            |                             |
| X-1-2 | Name of signatory  |                            |                             |
| X-1-3 | Capacity   |                            |                             |

**FOR RECEIVING OFFICE USE ONLY**

|        |   |        |
|--------|---|--------|
| 10-1   | Date of actual receipt of the purported international application   |        |
| 10-2   | Drawings:   |        |
| 10-2-1 | Received  |        |
| 10-2-2 | Not received  |        |
| 10-3   | Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application |        |
| 10-4   | Date of timely receipt of the required corrections under PCT Article 11(2)  |        |
| 10-5   | International Searching Authority   | ISA/JP |
| 10-6   | Transmittal of search copy delayed until search fee is paid   |        |

**FOR INTERNATIONAL BUREAU USE ONLY**

|      |  |  |
|------|--|--|
| 11-1 | Date of receipt of the record copy by the International Bureau |  |
|------|--|--|